

Compliance Assistance Program Commitment Form

Company Name: _____
Address: _____

City _____ State _____ Zip _____
FEIN/Social Security #: _____
State of Incorporation: _____
Date of Incorporation: _____
Authorized Representative: _____
Telephone: _____ Fax: _____
E-Mail Address: _____
Form Date: _____

The purpose of this commitment form is to express the intent of _____ (the Company) to properly report and remit all unclaimed property due to the state for the years covered by the look back period and to develop procedures to ensure future compliance. The look back period (the time for which the holder is expected to review and report unclaimed property) for this program is a maximum of ten (10) years. The Company will fully comply with O.S. Title 60, Chapter 13 - Oklahoma Unclaimed Property Statutes and Chapter 80 - Oklahoma Unclaimed Property Administrative Rules by the agreed upon commitment date on this form. In return, the State Treasurer shall waive the assessment of the following penalties and interest according to O.S. 60 Section 680 for those years covered by the look back period:

- Failure to report/remit - 10% interest of the property value
- Willful failure to report or deliver - Civil penalty \$100 per day (\$5,000 maximum)
- Willful failure to pay or deliver - penalty 25% of the property value

Upon receipt of the completed commitment form signed by an authorized representative of the Company, the State Treasurer will expect the Company to begin to develop necessary procedures to achieve compliance with the Oklahoma Unclaimed Property statutes, including proper remittance and reporting of all unclaimed property due to the state for the years covered by the look back period. If compliance is achieved by the commitment date, no penalties and interest will be assessed by the Treasurer. Failure to comply with the terms of the Compliance Assistance Program Commitment Form by the agreed upon commitment date will result in the dismissal of the State Treasurer's willingness to waive the assessment of penalties and interest. It should be understood that this signed Compliance Assistance Program Commitment Form does not relinquish the Oklahoma State Treasurer's right to audit the Company.

Information related to filing unclaimed property can be obtained at treasurer.ok.gov. The Unclaimed Property Division can be reached at (405) 521-4273 if you have questions. Please sign and date the form.

Return to: Oklahoma State Treasurer
Unclaimed Property Division
4841 N. Sewell Ave.
Oklahoma City, OK 73118

Commitment Date (not to exceed one (1) year from the date of form) _____

*Reporting Years Included in Commitment _____

****Each reporting year needs to be separately, identified. For example 2006, 2007, etc.***

Company Authorized Representative/Date _____

State Treasurer Authorized Representative/Date _____