



**KEN MILLER**  
**OKLAHOMA STATE TREASURER**

# **Oklahoma Tobacco Settlement Endowment Trust Fund**

## **Request for Information**

**SECURITIES FRAUD/CORPORATE GOVERNANCE MONITORING AND  
LITIGATION COUNSEL**

September 15, 2012

Contact:  
Georgiana Stephens  
(405) 521-6909  
[georgiana.stephens@treasurer.ok.gov](mailto:georgiana.stephens@treasurer.ok.gov)



**OKLAHOMA STATE TREASURER (OST)**

**SECURITIES FRAUD/CORPORATE GOVERNANCE MONITORING  
(RFI)**

This notice is a request for information for use by OST. OST invites interested parties to submit written information relevant to the provision of Securities fraud/corporate governance monitoring and litigation counsel for the Oklahoma Tobacco Settlement Endowment Trust Fund (Tobacco Fund). Information provided through public submission will be considered by OST. Materials submitted should be confined to fraud monitoring and litigation services.

**DATES:** Submitters of information are requested to provide one copy of their written submission to the Office of the Oklahoma State Treasurer, Georgiana Stephens at the address below by 3 p.m., October 26, 2012.

**ADDRESSES:** Written submissions should be addressed to Susan Eubanks, Executive Assistant at the Oklahoma State Treasurer's Office State Capitol, 2300 N. Lincoln Blvd., Room 217, Oklahoma City, OK 73105. Submissions may also be sent to Ms. Eubanks electronically via fax: 405-521-4994 or via email at: [susan.eubanks@treasurer.ok.gov](mailto:susan.eubanks@treasurer.ok.gov).

**FOR FURTHER INFORMATION CONTACT:** Georgiana Stephens, Deputy Chief Investment Officer, at (405) 521-6909, fax: (405) 521-4994, or e-mail: [georgiana.stephens@treasurer.ok.gov](mailto:georgiana.stephens@treasurer.ok.gov).

Firms will assist the Tobacco Fund with the consideration and/or prosecution of potential claims under federal and/or state securities laws relating to Tobacco Fund's portfolio.

**Information Sought**

The Tobacco Fund is seeking a law firm to identify potential claims under federal and/or state securities laws relating to the Tobacco Fund's portfolio. This firm will monitor and evaluate the portfolio to determine whether the portfolio has suffered significant losses in value as a result of potentially unlawful conduct by a third party. The monitoring and evaluation process will include a review of filings of securities class actions, individual lawsuits, criminal actions, market news and other information related to securities held in the Tobacco Fund.

If it is determined that a loss to the Tobacco Fund has occurred due to the potentially unlawful conduct by a third party, the firm will provide the Fund with an analysis setting forth the nature of the Tobacco Fund's potential claims, applicable facts, assessment of the merits of any legal

action, and other relevant matters regarding the fund's legal options. The firm will report its findings and a recommendation as to the involvement in the case.

If the Tobacco Fund retains a law firm, the parties will negotiate an agreement in which the firm, among other things, agrees to prosecute actions on behalf of the Tobacco Fund on a contingent basis.

**K. Miscellaneous:**

1. One copy of your response to this RFI must be furnished via mail, fax or e-mail.
2. All proposals are to be received by October 26, 2012 to:

Oklahoma State Treasurer  
Susan Eubanks, Executive Assistant  
2300 N. Lincoln Blvd., Room 217  
Oklahoma City, OK 73105  
Telephone: 405-522-4215  
Fax: 405-521-4994  
Susan.eubanks@treasurer.ok.gov